1	Metformin mitigates insulin signaling variations induced by COVID-19 vaccine
2	boosters in type 2 diabetes
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NOTE: This preprint reports new research that has not been certified by peer review and should not be used to guide clinical practice.

#### 21 Abstract

22 Diabetes is associated with an increased risk of Coronavirus disease 2019 (COVID-19) 23 vulnerability and mortality. COVID-19 vaccines significantly reduce the risks of serious 24 COVID-19 outcomes, but the impact of COVID-19 vaccines including their effectiveness and 25 adverse effects in patients with diabetes are not well known yet. Here, we showed that 61.1% 26 patients with type 2 diabetes, but not healthy controls, exhibited aggravated insulin resistance towards the booster shots of the COVID-19 vaccine. Furthermore, we showed that COVID-19 27 28 vaccination once a week also impaired insulin sensitivity in healthy mice after four weeks. We 29 further showed that metformin, a common anti-diabetic medication, improved the impaired 30 insulin signaling induced by COVID-19 vaccination in mice. This study suggests clinical implications for the close monitoring of glycemic control in diabetic patients after receiving 31 32 COVID-19 vaccines and indicates the beneficial action of metformin in counteracting insulin 33 signaling variations induced by COVID-19 vaccination in diabetic patients.

# 34 Keywords

35 COVID-19; SARS-CoV-2; Diabetes; Insulin sensitivity; Metformin

#### 36 Introduction

37 Diabetes mellitus (DM), commonly referred to as diabetes, is a chronic metabolic disorder 38 characterized by high levels of glucose in the blood, either due to insufficient insulin 39 production (type 1 diabetes) or insulin resistance (type 2 diabetes). With approximately 10% 40 of the global population affected by this condition, diabetes has become one of the most 41 prevalent metabolic diseases worldwide[1]. The impact of diabetes extends beyond the direct 42 implications of the disease itself, as it predisposes individuals to a myriad of complications[2]. 43 Over time, diabetes can lead to a cascade of health complications. Cardiovascular diseases, for 44 instance, are notably more common among those with diabetes, as the condition contributes to 45 the development of atherosclerosis, increasing the risk of heart attacks and strokes. Diabetic 46 neuropathy is another frequent complication, where high blood sugar levels cause damage to 47 the nerve fibers, particularly in the legs and feet, leading to numbness, tingling, or pain. 48 Nephropathy, which is kidney damage resulting from diabetes, can progress to kidney failure, 49 a life-threatening condition.

50 Coronavirus disease 2019 (COVID-19), a global pandemic caused by severe acute respiratory 51 syndrome coronavirus 2 (SARS-CoV-2), has brought challenges for people with diabetes as 52 they have an increased risk for more severe symptoms and complications from COVID-19[3]. 53 Diabetic patients are more susceptible to an array of complications from the SARS-CoV-2, including a higher likelihood of requiring intensive care and mechanical ventilation, and a 54 55 greater risk of death compared to the general population[4]. As diabetes is associated with an 56 impaired immune response, infection with COVID-19 can lead to more severe and prolonged 57 illness in diabetic patients.

58 COVID-19 vaccination has been shown to reduce the risk of symptomatic infection,
59 hospitalization rates, and mortality from COVID-19[5]. Moreover, large-scale vaccination data

have demonstrated a substantial decrease in hospitalization rates and mortality due to COVID-19 among the diabetic population[6]. The collective data strongly suggest that the benefits of receiving a COVID-19 vaccine significantly outweigh the risks, particularly for individuals with pre-existing health conditions such as diabetes. Herein COVID-19 vaccination has become a critical defense for individuals with diabetes. By bolstering the immune response to SARS-CoV-2, vaccines help prevent the hyper-inflammatory reactions and severe respiratory complications that can arise from a COVID-19 infection.

67 Recognizing the advantages of the COVID-19 vaccine, it is also imperative to elucidate any 68 unforeseen effects, especially in populations with pre-existing metabolic conditions. 69 Meanwhile, as many countries have used booster doses including third and fourth doses of COVID-19 vaccine to maintain immune protection[7], there is a need for ongoing surveillance 70 71 to track any adverse outcomes of COVID-19 vaccination in individuals with diabetes. As the 72 interplay between COVID-19, vaccination, and diabetes is not entirely understood, it is critical 73 to conduct both clinical and basic scientific research to understand COVID-19 vaccine impact on diabetes. 74

# 75 Results

## 76 COVID-19 vaccine aggravates insulin resistance in diabetic patients

We first conducted a longitudinal clinical trial to determine the effects of the COVID-19 vaccine in healthy controls, pre-diabetic subjects, and diabetic subjects. Between 1 June 2023 and 31 October 2023, we recruited 155 adults who have received two doses of the mRNA COVID-19 vaccine (BNT162b2). The participants ranged in age from 18 to 65 years (median=53.5 years, IQR 12.0) and 60.25% are male. Human volunteers were recruited to determine their immune responses and glucose control before and 2 weeks after the booster medRxiv preprint doi: https://doi.org/10.1101/2023.12.27.23299358; this version posted January 2, 2024. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted medRxiv a license to display the preprint in

83 shot of COVID-19 mRNA vaccination. As expected, we showed SARS-CoV-2 spike protein, 84 IgG antibodies against SARS-CoV-2 spike (Trimer) and neutralizing abilities of SARS-CoV-2 protein significantly increased after treatment of COVID-19 mRNA booster in both healthy 85 86 controls and diabetic patients as shown by levels of SARS-CoV-2 spike protein, measurement 87 of IgG antibodies against SARS-CoV-2 spike (Trimer) and SARS-CoV-2 surrogate virus 88 neutralization test (sVNT) (p<0.001 in all cases, Figure.1A-C), but no significant differences 89 are found in immune responses indexes among healthy controls, pre-diabetic subjects and 90 diabetic subjects (n.s. in all cases, Figure.1A-C), suggesting diabetic patients exhibited similar 91 immune responses as healthy controls towards COVID-19 mRNA booster.

However, in contrast to immune responses to COVID-19 mRNA boosters shown in healthy 92 93 controls, pre-diabetic subjects and diabetic subjects, we found exacerbated risks of glucose 94 intolerance and insulin resistance after the booster shots of COVID-19 mRNA vaccination in 95 pre-diabetic patients and diabetic patients, as revealed by the significant elevation of HbA1c, 96 homeostatic model assessment for insulin resistance (HOMA-IR), triglyceride (TG) and 97 triglyceride-glucose index (TyG) (p<0.02 in all cases, Figure.1D and G-I). In contrast, no 98 significant changes in fasting blood glucose (FBG) and insulin levels are found in human 99 subjects after the booster shots of COVID-19 mRNA vaccination (n.s. in all cases, Figure.1E-100 F). About 61.1% of diabetic subjects had impairment of insulin sensitivity according to the 101 HOMA-IR index and about 66.7% of diabetic subjects had increased risks of cardiovascular 102 complications according to the TyG index. Moreover, correlation analysis revealed HOMA-IR 103 index is positively correlated with a series of immune responses including SARS-CoV-2 spike 104 protein, SARS-CoV-2 spike (Trimer) IgG, and neutralizing abilities of SARS-CoV-2 protein 105 after COVID-19 vaccination (p<0.05 in all cases, r=0.2162, 0.2102 and 0.2746, Figure.1J-L). 106 These results suggest that the booster shot of mRNA COVID-19 vaccine impairs glucose 107 control and aggravates insulin resistance in human subjects with type 2 diabetes.

#### 108 **COVID-19** vaccine impairs insulin signaling in mice

109 To study the inhibitory action of COVID-19 vaccination on glucose control, we first performed 110 a glucose tolerance test in healthy mice that received mRNA COVID-19 vaccine (BNT162b2) 111 weekly. Compared to mice treated with saline, mice treated with the COVID-19 vaccine 112 exhibited immune responses similar as shown by the elevation of SARS-CoV-2 spike protein 113 in serum (p=0.001, Figure.2A). Interestingly, we showed mice after the fourth dose of COVID-114 19 vaccine exhibited impaired glucose tolerance examined by oral glucose tolerance test 115 (OGTT) (p<0.05 in all cases, Figure.2B-C). We also showed serum triglyceride, but not FBG, 116 serum insulin level or bodyweight, is significantly elevated in mice with weekly COVID-19 117 vaccination (p=0.0305 for Figure.2D, n.s. for Figure.2E-G), indicating an increased risk of 118 cardiovascular diseases and metabolic disorders. Coupled with impaired glucose tolerance, the 119 reduction in blood glucose in response to insulin challenge in the insulin tolerance test (ITT) 120 (p<0.05 in all case, Figure.2H-I) and the level of insulin-induced Akt phosphorylation in 121 insulin-sensitive tissues were significantly reduced in mice with COVID-19 vaccination (p<0.05 in all cases, Figure.2J-M). These results suggested that the glucose intolerance induced 122 by the COVID-19 vaccine is mediated by impairment of insulin sensitivity rather than impaired 123 insulin secretion in mice. 124

#### 125 Metformin alleviates insulin resistance induced by COVID-19 vaccine in mice

Metformin, a first-line anti-diabetes drug, has been found to improve the COVID-19 severity and mortality[13], and reduce the incidence of post-acute COVID-19 syndrome (PACS)[14], whereas the beneficial effects of metformin on the COVID-19 induced insulin resistance remain elusive. Interestingly, we demonstrated that metformin at a clinically relevant dosage alleviated insulin resistance in mice vaccinated with the COVID-19 vaccine as shown by OGTT and ITT indexes (p<0.05 in all cases, Figure.3A-D) and rescued the impaired insulin

signaling in mice (p<0.05 in all cases, Figure.3E-H). Notably, metformin did not affect the protective effects of COVID-19 vaccine against SARS-CoV-2 as demonstrated by the nonsignificant changes in serum levels of SARS-CoV-2 spike protein, spike protein IgG and SARS-CoV-2 sVNT (n.s. in all cases, Figure.4I-K). These results suggest metformin can be used as an adjunctive treatment for maintaining glucose control after COVID-19 vaccination.

## 137 Discussion

The rapid development and widespread distribution of COVID-19 vaccines have played a 138 139 crucial role in mitigating the global impact of the pandemic. While these vaccines have proven 140 to be highly effective in preventing severe COVID-19 illness and reducing transmission rates, 141 the long-term effects of COVID-19 vaccines have not been well characterized yet[7]. In this 142 study, we showed that boosters of COVID-19 vaccines weaken insulin sensitivity in prediabetic and diabetic patients, providing a causal link between COVID-19 vaccines and insulin 143 144 resistance. Several studies have been conducted to assess the impact of COVID-19 vaccines 145 on glucose metabolism and insulin sensitivity[4, 16]. Although these studies found no significant changes in glucose tolerance as measured by fasting blood glucose following single-146 147 time vaccination, we showed that the booster shots of the COVID-19 vaccine impair insulin 148 sensitivity and increase diabetic complications risks in diabetic patients as measured by risk 149 factors of insulin resistance and biological indexes of insulin sensitivity. Moreover, we showed 150 multiple times (>3) COVID-19 vaccinations significantly impair insulin signaling in healthy 151 mice accompanied by elevation of SARS-CoV-2 spike protein and IgG antibodies of SARS-152 CoV-2 spike protein, resulting in impaired glucose control and insulin signaling. As studies 153 showed post-acute COVID-19 syndrome (PACS) linked to the persistence of SARS-CoV-2 spike protein and IgG antibodies of SARS-CoV-2 spike protein, patients with PACS may also 154 155 have impaired insulin signaling and increased risks of diabetes and its complications.

156 Recent studies have uncovered the association between COVID-19 and the risk of T2D. A 157 large retrospective cohort study found that patients with a history of COVID-19 had a 158 significantly higher risk of developing T2D within one year of infection compared to the 159 general population[17]. Additionally, a systematic review and meta-analysis reported an 160 increased risk of new-onset diabetes in individuals who had recovered from COVID-19[18]. 161 In addition, we revealed a common anti-diabetes medication metformin, which is associated 162 with improved clinical outcomes of COVID-19 and reduced risks of developing PACS-related 163 symptoms by clinical studies[14], significantly improved insulin sensitivity induced by 164 COVID-19 vaccines in mice without affecting the levels of SARS-CoV-2 spike protein. Our 165 results also delivered basic and clinical implications of metformin for the treatment of COVID-166 19 and PACS-related insulin resistance in subjects with pre-diabetes and diabetes.

167 There are several potential mechanisms through which boosters of COVID-19 might increase 168 the risk of T2D development in diabetic patients. First, SARS-CoV-2 spike protein induced by 169 booster of COVID-19 vaccine may contribute to insulin resistance and impaired glucose 170 metabolism[3]. The induction of SARS-CoV-2 spike protein may persist even after several 171 months of the COVID-19 vaccination, affecting the development of T2D in susceptible 172 individuals. SARS-CoV-2 spike protein induced by boosters of the COVID-19 vaccine may 173 directly affect insulin signaling via binding toll-like receptor 4 (TLR4) and estrogen receptor 174 (ER) which is involved in the regulation of insulin signaling [19], which may lead to reduced 175 insulin secretion and insulin resistance. Second, the SARS-CoV-2 spike protein induced by the 176 COVID-19 vaccine is known to cause systemic immune responses and the production of IgG antibodies of the SARS-CoV-2 spike protein by the host, which may affect the function of 177 178 insulin signaling pathways and lead to insulin resistance. We also noticed that the insulin 179 sensitivity and triglyceride levels were only affected by boosters of COVID-19 in pre-diabetic 180 and diabetic subjects but not healthy controls in our present study, suggesting subjects with

181 impaired glucose tolerance are recommended to take extra care of their blood glucose 182 homeostasis after COVID-19 vaccination. A long-term longitudinal study in the future will 183 help better monitor the glucose control and complications risks in pre-diabetic and diabetic 184 patients with boosters of the COVID-19 vaccine.

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#### **193** Author contributions

- 194 Conceptualization: ZX.B., HLX.W. and LX.Z. Methodology: HLX.W., LX.Z., M.Z. and CY.L.
- 195 Investigation: M.Z., GY.B., YJ.Z., SJ.X. HK.W., JL.Z., JY.L. Visualization: M.Z. and LX.Z.
- 196 Funding acquisition: ZX.B. Project administration: ZX.B., HLX.W., LX.Z. and CY.L.
- 197 Supervision: ZX.B., HLX.W. and LX.Z. Writing- original draft: M.Z. and LX.Z. Writing-
- 198 review & editing: ZX.B., HLX.W., LX.Z and SF.Y.

# 199 **Declaration of interests**

200 The authors declare that they have no competing interests.

# 201 Materials and methods

- 202 The experiments were not randomized, and investigators were not blinded to allocation during
- 203 experiments and outcome assessment.
- 204 Reagents and resources
- 205 Reagents and resource details are provided in Table.S1.
- 206 Human subjects

The human study was approved by the Research Committee on the Use of Human and Animal Subjects in Teaching and Research at Hong Kong Baptist University (REC/22-23/0277) and registered on Chinese Clinical Trial Registry (ChiCTR2300069830). A total of 180 participants receiving BioNTech (BNT) mRNA COVID-19 vaccination (60 in each of the following groups: pre-diabetes mellitus, diabetes mellitus and healthy controls) are recruited and observed over a 2-week period for their changes in insulin sensitivity before and after the vaccination of BNT to determine the effects of spike protein on insulin sensitivity.

## 214 Animals

215 The mice study was approved by the Research Committee on the Use of Human and Animal 216 Subjects in Teaching and Research at Hong Kong Baptist University (REC/22-23/0611). The 217 mice experiments were performed under the regulation of the animal ordinance of the Department of Health, Hong Kong SAR, China. Male BALB/c mice (6-8 weeks and 20-25g), 218 219 which were purchased from the Laboratory Animal Services Center, Chinese University of 220 Hong Kong, were raised in the Animal Unit, School of Chinese Medicine, Hong Kong Baptist 221 University. The mice were kept at a condition of 12/12 h light/dark cycle at a controlled 222 temperature of approximately 25°C with free access to food and water.

223 Mice were administered either with BioNTech COVID-19 mRNA vaccine by intramuscular 224 injection at a dosage of 4.5  $\mu$ g/kg according to the adjustment of dosage used in human once 225 per week for 5 weeks. Mice were administered with related agonists or antagonists of TLR4, 226 ER and ACE2, respectively in the mechanism study.

227 Oral glucose tolerance test (OGTT) and insulin glucose tolerance test (ITT)

For OGTT, mice were fasted overnight (12 hours) before the OGTT and given glucose solution at a dosage of 2g/kg orally and blood samples were collected from the caudal vein for glucose measurement at 0, 15, 30, 45, 60, 90 and 120 min after the glucose administration. The area under the concentration-time curve (AUC) was calculated. For ITT, mice were fasted for 4 hours before the ITT and given insulin by i.p. at a dosage of 1U/kg and blood samples were collected from the caudal vein for glucose measurement at 0, 15, 30, 45, 60, 90 and 120 min as per OGTT. The area above the concentration-time curve (ABC) was also calculated.

235 Serological tests

Mice were fasted overnight (12 hours) before the collection of serum samples. Serum samples
(about 200 µL) were collected by orbital bleeding in mice under anesthesia conditions. SARSCoV-2 spike RBD IgG test, SARS-CoV-2 surrogate virus neutralization test (sVNT), SARSCoV-2 spike protein ELISA test, triglyceride and insulin levels were measured according to
the protocols provided by the manufacturer.

241 Western blotting

Frozen mice tissue samples and harvested cells were lysed in RIPA buffer and normalized to a concentration of 2mg/mL protein. For western blotting, tissue lysates and cell lysates were mixed with 5x loading buffer and heated at 98 °C for 10 min. The target proteins were detected

as per the instruction protocols of BioRad. The blots were then incubated with HRP-linked
anti-rabbit IgG or anti-mouse IgG and reacted with chemiluminescence. The semiquantification of blots was analyzed with Image J.

## 248 Statistical analysis

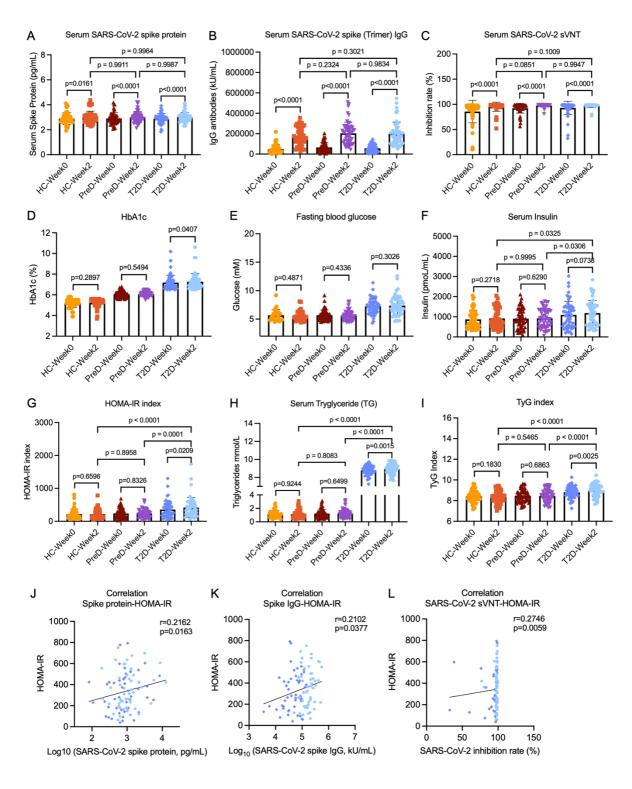
- 249 Data was expressed as average and SD values in at least triplicates. P-value was calculated
- using Graphpad Prism 9 and *p*-values less than 0.05 are considered statistically significant.
- 251 Unpaired student's t-tests or one-way ANOVA analysis were employed in analysis settings as
- 252 indicated.

## 253 Data and materials availability

254 Further information and requests for clinical resources and information can be directed to

255 Lixiang Zhai (<u>lxzhai@hkbu.edu.hk</u>). This study does not generate new experimental materials.

#### 256 **Figure legends**



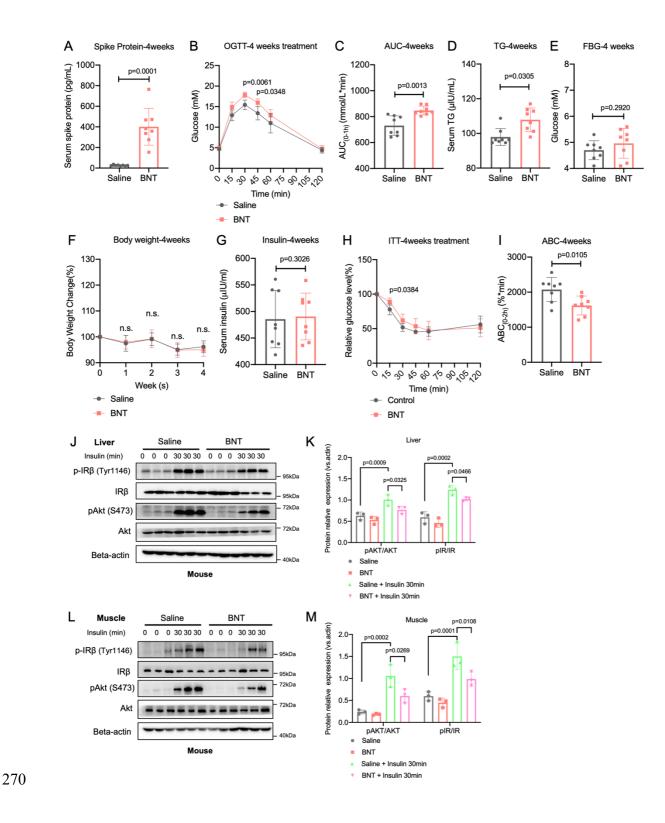


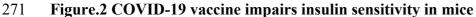
257

258 Figure1. COVID-19 vaccine aggravates insulin resistance in diabetic subjects

259 (A-C) SARS-CoV-2 spike protein, SARS-CoV-2 spike (Trimer) IgG and SARS-CoV-2 sVNT in serum samples from healthy controls (HC), pre-diabetic subjects (PreD) and diabetic 260 subjects (T2D) following vaccination of BNT mRNA COVID-19 vaccine (n=56 per group) 261 262 (determined by two-tailed t-tests). (D-I) HbA1c, fasting blood glucose (FBG), fasting insulin, homeostatic model assessment for insulin resistance (HOMA-IR), triglyceride (TG) and 263 264 triglyceride-glucose (TyG) index in serum samples from diabetic subjects following vaccination of BNT mRNA COVID-19 vaccine (n=56 per group) (determined by two-tailed t-265 tests). (J-L) Spearman's correlation between serum SARS-CoV-2 spike protein, SARS-CoV-266 267 2 spike (Trimer) IgG and SARS-CoV-2 sVNT index with HOMA-IR index in diabetic subjects 268 following vaccination of BNT mRNA COVID-19 vaccine (n=56 per group) (determined by

two-tailed t-tests).

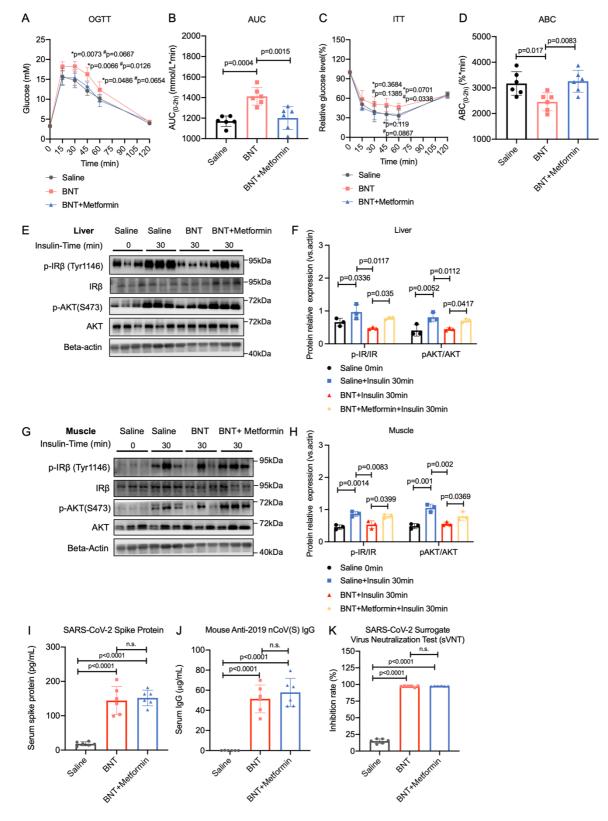




(A) SARS-CoV-2 spike protein level, fasting TG (triglyceride) and FBG (fasting blood glucose) 272

levels in serum samples from normal mice following treatment of BNT mRNA COVID-19 273

274	vaccine (4.5 µg/kg) for 4 weeks (n=8 per group) (determined by two-tailed t-tests). (B-C)
275	OGTT and AUC (area under curve) indexes in normal mice following treatment of BNT
276	mRNA COVID-19 vaccine (4.5 $\mu$ g/kg) for 4 weeks (n=8 per group) (determined by two-tailed
277	t-tests). (D-G) Fasting TG (triglyceride), FBG (fasting blood glucose), fasting insulin levels in
278	serum samples and bodyweight index from normal mice following treatment of BNT mRNA
279	COVID-19 vaccine (4.5 $\mu$ g/kg) for 4 weeks (n=8 per group) (determined by two-tailed t-tests).
280	(H-I) ITT and ABC (area below curve) indexes in normal mice following treatment of BNT
281	mRNA COVID-19 vaccine for 4 weeks (4.5 µg/kg) (n=8 per group) (determined by two-tailed
282	t-tests). (J-M) Western blot (and quantification) of the effects of BNT mRNA COVID-19
283	vaccine (4.5 $\mu$ g/kg) on IR (insulin receptor) and AKT phosphorylation stimulated by insulin
284	(1U/kg) in liver and muscle lysates from normal mice (n=3/group) (determined by two-tailed
285	one-way ANOVA test).



286

287 Figure.3 Metformin alleviates COVID-19 vaccine-induced insulin resistance

288	(A-B) OGTT and AUC indexes in normal mice following treatment of BNT mRNA COVID-
289	19 vaccine (4.5 µg/kg) and metformin (300mg/kg) (n=6 per group) (determined by two-tailed
290	t-tests). (C-D) ITT and ABC indexes in normal mice following treatment of BNT mRNA
291	COVID-19 vaccine (4.5 µg/kg) and metformin (300mg/kg) (n=6 per group) (determined by
292	two-tailed t-tests). (E-H) Western blot (and quantification) of the effect of BNT mRNA
293	COVID-19 vaccine (4.5 µg/kg) and metformin (300mg/kg) on IR and AKT phosphorylation
294	(n=6/group) in liver and muscle lysates (determined by two-tailed one-way ANOVA test). (I-
295	K) SARS-CoV-2 spike protein, SARS-CoV-2 spike (Trimer) IgG and SARS-CoV-2 sVNT in
296	in serum samples from normal mice following treatment of BNT mRNA COVID-19 vaccine
297	(4.5 $\mu$ g/kg) and metformin (300mg/kg) (n=3 per group) (determined by two-tailed one-way

ANOVA test).

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